

LICS 2012 DUBROVNIK

TUTORIAL

TERM REWRITING & LAMBDA CALCULUS

Jan Willem Klop Jörg Endrullis

VU UNIVERSITY AMSTERDAM

O. A FEW WORDS ON HISTORY

1. REWRITING DICTIONARY

2. TWO THEOREMS IN ABSTRACT REWRITING

3. WORD REWRITING: MONOIDS AND BRAIDS

TEA, COFFEE

- 4. TERM REWRITING: DIVIDE ET IMPERA; TERMINATION BY STARS
- 5. LAMBDA CALCULUS AND COMBINATORY LOGIC
- 6. INFINITARY REWRITING

TEA, COFFEE

7.INFINITARY LAMBDA CALCULUS AND THE THREEFOLD PATH

8. CLOCKED SEMANTICS OF LAMBDA CALCULUS

9.STREAMS RUNNING FOREVER

O. A FEW WORDS ON HISTORY

Some historical lines...



Some streets we want to walk





Some streets we want to walk



The famous Collatz ARS: 3n+1-problem



An ARS



1. REWRITING DICTIONARY







sub-commutative



WCR, weakly Church-Rosser



CR, Church-Rosser

equivalent: CR, Church-Rosser



WN, weakly normalizing



SN, strongly normalizing;terminating; noetherian



nf
$$a$$
 $UN=, unique normal $=$ -- nf b form property $wrt =$$



$UN \rightarrow \mathcal{E} SN \Rightarrow CR$



 $CR \Rightarrow WCR$, but not $WCR \Rightarrow CR$





Conception: Alonzo Church



Church (1903-1995) Studying mathematics at Princeton 1922 or 1924 Suggested topic

Supervisor

Oswald Veblen topic find an algorithm for the genus of a manifold $\{\vec{x} \in K^n \mid p(\vec{x}) = 0\}$ (e.g. $K = \mathbb{R}, n = 3$)



Church could not do it Started to wonder what computability is after all Invented lambda calculus Formulated Church's Thesis:

Given a function $f: \mathbb{N}^k \rightarrow \mathbb{N}$

Then f is computable iff f is lambda definable

sophisticated multiset proof of Newman's Lemma:



elementary diagrams to build reduction diagrams, given WCR



completed reduction diagrams





failed reduction diagrams



another failure





and one more





a vector addition system: indexed ARS







 $\forall a, b, c \in A \exists d, e, f \in A(c \leftarrow a \rightarrow b \Rightarrow c \rightarrow d \rightarrow e \leftarrow f \leftarrow b)$





1.2.1. EXAMPLE. 1.2.2. DEFINITION. For an ARS $\mathcal{A} = \langle A, \rightarrow \rangle$ we define: \rightarrow is *strongly confluent* if

$$\forall a, b, c \in A \exists d \in A(b \leftarrow a \rightarrow c \Rightarrow c \twoheadrightarrow d \twoheadleftarrow^{\equiv} b)$$

(See Figure 1.9(a)) (Here \leftarrow^{\pm} is the reflexive closure of \leftarrow , so b \rightarrow^{\pm} d is zero or one step.)

1.2.3. LEMMA. (Huet [80]). Let A be strongly confluent. Then A is CR.







Is tiling succesful? YES!

Dick de Bruijn 1918 - 2012



Institute in Nijmegen and the Formal Methods section of Eindhoven University of Technology. Started by prof. H. Barendregt, in cooperation with Rob Nederpelt, this archive project was launched to digitize valuable historical articles and other documentation concerning the Automath project.

Initiated by prof. N.G. de Bruijn, the project Automath (1967 until the early 80's) aimed at designing a language for expressing complete mathematical theories in such a way that a computer can verify the correctness. This project can be seen as the predecessor of type theoretical proof assistants such as the well known Nuprl and Coq. 29



A note on weak diamond properties.

1. <u>Introduction</u>. Let S be a set with a binary relation >. We assume it to satisfy x > x for all $x \in S$. We are interested in establishing a property CR (named after its relevance for the Church-Rosser theorem of lambda calculus, cf. [1]). We say that x ~ y if x > y or y > x. We say that x >^{*} y if there is a finite sequence x_1, \ldots, x_n with $x=x_1 > x_2 >$ > ...> $x_n=y$, and also if x=y. We say that (S,>) satisfies CR if for any sequence x_1, \ldots, x_n with

 $x_1 \sim x_2 \sim \dots \sim x_n$

there exist an element $x \in S$ with both $x_1 > z$ and $x_n > z$.

It is usual to say that (S,>) has the <u>diamond property</u> (DP) if for all x,y,z with x > y, x > z there exists a w with y > w, z > w. This is depicted in the following diagram:



This example also shows that CR neither follows from WDP_2 where WDP_2 is slightly stronger than WDP_1 and says:"if x > y and x > z then w exists such that y > * w and z > * w and at least one of y > w and z > w". Stronger again is WDP_3 , expressing:"if x > y and x > z then w exists such that y > * w and z > w." This WDP_3 does imply CR. Actually WDP_3 implies WDP_4 , which says: "if x > * y and x > * z then w exists such that both y > * w and z > * w." This WDP_4 is the DP for (S, >*), and therefore implies CR for (S,>*), and that is the same thing as CR for (S,>). The derivation of WDP_4 from WDP_3 is illustrated by the following picture (cf. [2] p. 59) which speaks for itself:



In this note we go considerably further. Instead of having just one relation > we consider a set of relations > where m is taken from an index set M. The idea behind this is that in the Church-Rosser theorem the relations represent lambda calculus reductions; there may be reductions of various types, and diamond properties may depend on these types. It is our purpose to establish weak diamond properties which guarantee CR (where CR has to be interpreted as in section 4. 5. The basic diamond properties. If $m \in M$, the diamond property $D_1(m)$ is defined by the following diagram.



This has to be read as follows (and further diagrams have to be interpreted analogously: If x,y,z are such that x > m y, x > m z, then u,v,w exist such that

 $y >_{m+} w$, $z >_{m-} u >_{m} v >_{m-} w$.

(so on the left we have a chain from y to w with all links \leq m; on the right we have a chain from z to w with all links \leq m but with at most one = m).



6. Some auxiliary diamond properties. We intend to show that $D_1(m)$ and $D_2(m,k)$ (for all m,k with k < m) lead to CR. In order to achieve this we formulate a number of diamond properties that will play a rôle in the proof.



The diagrams ${\rm D}_3$ and ${\rm D}_7$ will play their rôle only if k < m, and ${\rm D}_4$ only if h < k' < m, l \leq m. 33






not decreasing





decreasing



1.2.14. THEOREM. (*De Bruijn - Van Oostrom*) Every ARS with reduction relations indexed by a well-founded partial order I, and satisfying the decreasing criterion for its e.d.'s, is confluent.



Theorem 3.3 (Decreasing Diagrams – De Bruijn). Let $\mathscr{A} = (A, (\rightarrow_{\alpha})_{\alpha \in I})$ be an *ARS with reduction relations indexed by a well-founded* total order (I, >). If for every peak $c \leftarrow_{\beta} a \rightarrow_{\alpha} b$ there exists an elementary diagram joining this peak of one of the forms in Figure 3.13, then \rightarrow is confluent.



Fig. 3.13: De Bruijn's asymmetrical decreasing elementary diagrams.

Van Oostrom [vO94b, vO94a] presents a novel proof, and derives the following symmetrical version of decreasing elementary diagrams that allows for partial orders >, see Figure 3.14.

Theorem 3.4 (Decreasing Diagrams – Van Oostrom). Let $\mathscr{A} = (A, (\rightarrow_{\alpha})_{\alpha \in I})$ be an ARS with reduction relations indexed by a well-founded partial order (I, >). An elementary diagram is called decreasing if it is of the form displayed in Figure 3.14. If for every peak $c \leftarrow_{\beta} a \rightarrow_{\alpha} b$ there exists a decreasing elementary diagram joining this peak, then \rightarrow is confluent. $a \xrightarrow{\alpha} b$



Fig. 3.14: Decreasing elementary diagram.

Definition 3.3. An ARS $\mathscr{A} = (A, \rightarrow)$ is said to be *decreasing Church-Rosser* (DCR), if there is an indexed ARS $\mathscr{B} = \langle A, (\rightarrow_{\alpha})_{\alpha \in I} \rangle$ and a well-founded order > on *I* such that \mathscr{B} has decreasing elementary diagrams with respect to >, and $\rightarrow = \bigcup_{\alpha \in I} \rightarrow_{\alpha}$.

Theorem 3.5 (van Oostrom [vO94b]). For countable ARSs: $DCR \Leftrightarrow CR$.

The proof, also present in Bezem, Klop & van Oostrom [BKvO98], employs the fact mentioned in chapter 1: CR \Leftrightarrow CP for countable ARSs. It seems to be a difficult exercise to establish the (conjectured) result that the condition 'countable' is necessary.

Some streets we want to walk



dihedral group D₄



*Other presentations of D*⁴

$A \simeq B \Leftrightarrow A \Leftrightarrow_{Tietze} B$



 $dabcabc \leftarrow (dabca)(dabca)bc = dabcad(abc)(abc) \rightarrow dabcadabc$

by Vincent van Oostrom

a b

с



Zantema-Geser: does the rule 0011 → *111000 terminate?*

the one-rule SRS $0^p 1^q \rightarrow 1^r 0^s$ terminates if and only if

(a) p ≥ s or q ≥ r or
(b) p < s < 2p and q < r and q is not a divisor of r or q < r < 2q and p < s and p is not a divisor of s.

(so, does it terminate?)

from the Notebook of Gauss



Veraindrung der Coordiniz

a	1	1	2+i	3+i	2 + 2i	2+2i
b	2	2	1	1	1	1
С	3	4	4	4	4	3
d	4	3+i	3+i	2+2i	3 + 2i	4 + 3i

notation of Braids



braiding problem



Artin's braid equations



braid equations as e.d.'s



Figure 4: Elementary diagrams $(1 \le i, j < n)$



completed braid reduction diagram



aba = *bab and the need for signature extension*

Kapur-Narendran 1985: the monoid aba=bab has decidable equality (word problem), but there is no complete SRS generating this equality, like for D₄.

However, with extra symbols (signature extension) there is. ab = c, ca = bc. After completion: ab=c, ca=bc, bcb=cc, ccb=acc. Equality given by $E = \{aba = bab\}$ on a,b-words is decidable, as each E-equivalence class is finite, because applying E preserves length.

Can we implement the decidability by a complete TRS R such that

$$w =_E v \iff W =_R v$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$nf(w) =_? nf(v)$$

NO!



U, in E-equivalence class of aba and bab, must be either aba or bab. In both case R is cyclic, hence not SN.

Another SRS with this phenomenon is abba = e, defining even a group.

Question: what signature extension plus equations would admit a complete TRS?

Same question for: $E = \{f(x,y) = f(y,x)\},\$ generator o. Closed terms are finite commutative trees; decidable equality, but no complete TRS in same signature. In algebraic data type theory / universal algebra similar: if the equality is decidable, a signature extension yields a complete orthogonal TRS for it. (Hidden sorts and functions.)

Theorem 2.14 ((Bergstra & Tucker (80)). Let \mathscr{A} be a minimal Σ -algebra, Σ a finite signature. Then the following are equivalent:

(i) \mathscr{A} is a computable algebra;

(ii) there is an extension of Σ to a finite Γ , obtained by adding some function and constant symbols, and there is a complete TRS (Γ, R) such that

$$\mathscr{A} \equiv I(\Gamma, R^{=}) \mid_{\Sigma} .$$

Another solution by Burckel-Riviere 2001: $1^* \rightarrow ^*1$, $212^* \rightarrow 12^*1$ $2122 \rightarrow 1212$ $1211 \rightarrow 2121$

Remarkably, the word problem for monoids is not dependent on the actual presentation.

Shown by Tietze transformation rules.

The same holds for a large class of Sigma-algebras. (Pers. comm. by V. van Oostrom, June 2012. London Mathematical Society Lecture Notes Series 181

Geometric Group Theory Volume 1

Edited by Graham A. Niblo & Martin A. Roller

CAMBRIDGE UNIVERSITY PRESS

axioms in Frobenius algebras



Pachner moves: for transforming different triangulations of topological surfaces into each other

0





Prijsvraag Het Cola-gen

Een team tische manipuleerder riceren die get given DNA-string h. Daartoe moet DN van het melkgen: TAGCTAGCTAGCT ombouwen tot het cola-CTGACTGACT Er zijn technieken ter beschikking om de volgende DNA-substituties – heen

en weer – uit te voeren: TCAT \leftrightarrow T GAG \leftrightarrow AG CTC \leftrightarrow TC AGTA \leftrightarrow A TAT \leftrightarrow CT Kort daarvoor was echter of de gekke-koeienziekte wo zaakt door een retro-virus DNA-volgorde: CTGCTACTGACT

Wat nu, als onbedoeld koeien met dit virus ontstaan? Volgens de manipuleerders loopt dit zo'n vaart niet omdat het bij al hun experimenten nog nooit gebeurd is, maar diverse actiegroepen, zich beroepend op het voorzorgbeginsel, eisen keiharde garanties. Hoe bewijs je dat dit virus nooit kan ontstaan? Het aantal mogelijke combinaties van substituties is vrijwel eindeloos, dus een slimme redenatie is hier nodig. Het maken van het cola-gen vergt wel behoorlijk wat gepuzzel.

but avoid BSE virus



Zorg dat de oplossing uiterlijk 7 januari 2005 bij de Prijsvraagredactie is, NW&T, postbus 256, 1110 AG Diemen, of *prijsvraag@natutech.nl* o.v.v. Prijsvraag januari.

De winnaar ontvangt een cadeaubon voor Natuurwetenschap&Techniek-producten van€ 35,-. De prijsvraag voor februari staat vanaf maandag 17 januari al op *www.natutech.nl.*

Reidemeister moves to transform knots into each other





- 0. A few words on history
- 1. rewriting dictionary
- 2. two theorems in abstract rewriting
- 3. word rewriting: monoids and braids

tea, coffee

- 4. term rewriting: divide et impera; termination by stars
- 5. Lambda calculus and combinatory logic
- 6. Infinitary rewriting

tea, coffee

7. infinitary lambda calculus and the threefold path

8.clocked semantics of lambda calculus

9.streams running forever





$$r_1: F(C, H(0, L(x))) \to L(x)$$

$$r_2: H(y, L(1)) \to H(y, y)$$

The term arising from this superposition, F(C, H(0, L(1))), is now subject to two rewritings, as follows.





 $F(C, H(0, L(1))) \to_{r_1} L(1)$ $F(C, H(0, L(1))) \to_{r_2} F(C, H(0, 0))$

Now $\langle L(1), F(C, H(0, 0)) \rangle$ is the critical pair generated by this overlapping between r_1 and r_2 .









$$A(x,0) \rightarrow x$$

 $A(x,S(y)) \rightarrow S(A(x,y))$ $F(0) \rightarrow 0$
 $F(S(x)) \rightarrow A(F(x),S(x))$ \mathcal{R}_2 $M(x,0) \rightarrow 0$
 $M(x,S(y)) \rightarrow A(M(x,y),x)$

$$\mathcal{D} = \mathcal{R}_1$$
4. term rewriting: divide et impera; termination by stars



Some streets we want to walk



Grassmann 1861, Dedekind 1888

 $A(x, 0) \rightarrow x$ $A(x, S(y)) \rightarrow S(A(x, y))$ $M(x, 0) \rightarrow 0$ $M(x, S(y)) \rightarrow A(M(x, y), x)$



 $A(x, 0) \rightarrow x$ $A(x, S(y)) \rightarrow S(A(x, y))$ $M(x, 0) \rightarrow 0$ $M(x, S(y)) \rightarrow A(M(x, y), x)$

left linear non-overlapping rules



orthogonal TRSs: no overlaps



and no repeated variables



1924. "Über die Bausteine der mathematischen Logik"

Moses Schönfinkel



@ @ @ S @ @ y K X

@

orthogonal, hence confluent

Alonzo Church 1903-1995

At the time of his death, Church was widely regarded as the greatest living logician in the world



THE CALCULI OF LAMBDA-CONVERSION



Lambda Calculus

 $(\lambda x.Z(x))Y \longrightarrow Z(Y)$

Turing complete

STUDIES IN LOGIC

AND

THE FOUNDATIONS OF MATHEMATICS

VOLUME 103

J. BARWISE / D. KAPLAN / H.J. KEISLER / P. SUPPES / A.S. TROELSTRA EDITORS

The Lambda Calculus Its Syntax and Semantics

REVISED EDITION

H.P. BARENDREGT

ELSEVEER

AMSTERDAM + LONDON + NEW YORK + OXFORD + PARIS + SILANNON + TOKYO







ur-cycle

pure 3-cycle

Not in CL!

M.H. Sorensen:

λ -term has infinite reduction \Rightarrow $(\lambda x.xx)(\lambda x.xx)$ is a subword

$(\lambda xy.y(xxy))(\lambda xy.y(xxy))$

The TRS of S-terms, fragment of CL was another favourite passtime

- *is not SN:* SSS(SSS)(SSS) has infinite reduction (Barendregt earns 25 guilders)
- *has no cycles* (Bergstra)
- *is top terminating* (Waldmann)











$F(x) \rightarrow P(x, F(S(x)))$



 $F(x) \rightarrow P(x, F(S(x)))$



Cauchy converging reduction sequence: activity may occur everywhere



Strongly converging reduction sequence, with descendant relations



— convergence of depths towards ω^2



Ordinals ω^2 and ω^3 embedded in the reals, order-respecting.

Exercise: which ordinals can be embedded in the real segment [0,1]?

(i)
$$(\omega^{\omega} \cdot 2 + \omega^3 \cdot 4 + \omega^2) + (\omega^3 \cdot 3 + \omega^2 \cdot 2 + 1) = \omega^{\omega} \cdot 2 + \omega^3 \cdot 7 + \omega^2 \cdot 2 + 1$$

(ii) $(\omega^6 \cdot 3 + \omega^2 \cdot 4 + 2) + (\omega^4 \cdot 5 + \omega^2) = \omega^6 \cdot 3 + \omega^4 \cdot 5 + \omega^2$
(iii) $(\omega^{\omega+2} \cdot 3 + \omega^{\omega} + \omega + 7) \cdot (\omega^{\omega+1} \cdot 2 + \omega^{\omega} + 3) = \omega^{\omega\cdot2+1} \cdot 2 + \omega^{\omega\cdot2} + \omega^{\omega+2} \cdot 9 + \omega^{\omega} + \omega + 7$

But if you don't like ordinals, there is for orthogonal TRSs the Compression Lemma:

every reduction of length α can be compressed to ω or less.

use dove-tailing

Every countable ordinal can be the length of an infinite reduction. Consider the TRS

$${c \rightarrow f(a, c) and a \rightarrow b}$$



finitary rewriting	infinitary rewriting
finite reduction	strongly convergent reduction
infinite reduction	divergent reduction
normal form	(poss. infinite) normal form
CR: finite coinitial reductions can be joined	<i>CR∞: infinite coinitial</i> <i>reductions can be joined</i>
UN: coinitial reductions to nf end in same nf	<i>UN∞: coinitial reductions to nf</i> <i>end in same nf</i>
SN: there are no infinite reductions	<i>SN∞: there are no divergent reductions</i>
WN: there is a reduction to nf	WN∞: there is a reduction to nf

How to define SN^{∞} and WN^{∞} ?

 WN^{∞} is easy: There is a possibly infinite reduction to the possibly infinite normal form.

 SN^{∞} : all reductions will eventually terminate in the normal form. The only way such a reduction could fail to reach a normal form, is that it stagnates at some point in the tree which is developing, for infinitely many steps. Then no limit can be taken.

Good and bad reductions. In ordinary rewriting the finite reductions are good, they have an end point, and the infinite ones are bad, they have no end point.

Same in infinitary rewriting. The good reductions are the ones that are strongly convergent, they have an end point. E.g.

 $a \rightarrow b(a)$ reaches after ω steps the end point b^{ω} .

The bad reductions (divergent, stagnating) are the ones without an end point. Their reductions may be long, a limit ordinal long, but there they fail.

SN^{∞} states that there are no bad reductions.

In other words: say we select at random in each step a redex and perform this step. We can go on until we reach a limit ordinal. At that point we look back, and if the reduction was strongly convergent we take the limit and go on. If not, we stop there and we had a bad reduction.

CLAIM: we can then identify a stagnating term, a term where infinitely often a root step was performed.





infinitary parallel moves lemma

PML[∞] For first order infinitary term rewriting we have the infinitary Parallel Moves Lemma PML[∞]










for OTRSs: UN^{∞} .

Corollary: Dershowitz et al: for OTRSs $SN^{\infty} \Rightarrow CR^{\infty}$.

Proof: as for finite case SN & UN => CR



Confluence in infinitary rewriting

	PML	CR	UN	PML∞	CR∞	UN∞
OTRS	yes	yes	yes	yes	no	yes
w.o. TRS	yes	yes	yes	?	no	?
λβ	yes	yes	yes	no	no	yes
OCRS	yes	yes	yes	no	no	Ŷ

by CR^{∞} for a quotient of $\lambda\beta^{\infty}$, e.g. mute terms, or hypercollapsing terms, and applying an abstract lemma of de Vrijer.

Let (A, \rightarrow_1) and (B, \rightarrow_2) be two ARSs with A included in B, reduction \rightarrow_1 included in \rightarrow_2 , normal forms nf(A) included in nf(B). Then CR for B implies UN for A.



 λ^{∞} :not PML^{\infty}

$$\omega_{\rm I} \equiv (\lambda x. I(xx))$$
$$\omega \equiv \lambda x. xx$$

 $YI \rightarrow \omega_I \omega_I$



For infinitary lambda calculus Parallel Moves Lemma PML[∞] fails, hence also CR[∞]

Y₀: $\lambda f. (x.f(xx)(\lambda x.f(xx)))$

Y₁: (λ ab. b(aab)) (λ ab. b(aab))

$Y_0(SI)$ Y_1

Exercise. Prove that $Y_0 \neq_{\beta} Y_1$

infinitary lambda calculus subsumes scott's induction rule

$$Yx \rightarrow x(Yx) \rightarrow x^2(Yx) \rightarrow x^0 = x(x(x(x...$$



A simple proof



55









- 0. A few words on history
- 1. rewriting dictionary
- 2. two theorems in abstract rewriting
- 3. word rewriting: monoids and braids

tea, coffee

- 4. term rewriting: divide et impera; termination by stars
- 5. Lambda calculus and combinatory logic
- 6. Infinitary rewriting

tea, coffee

7. infinitary lambda calculus and the threefold path

8.clocked semantics of lambda calculus

9.streams running forever













<u>></u>___













- 0. A few words on history
- 1. rewriting dictionary
- 2. two theorems in abstract rewriting
- 3. word rewriting: monoids and braids

tea, coffee

- 4. term rewriting: divide et impera; termination by stars
- 5. Lambda calculus and combinatory logic
- 6. Infinitary rewriting

tea, coffee

7. infinitary lambda calculus and the threefold path

8.clocked semantics of lambda calculus

9.streams running forever





$$r_1: F(C, H(0, L(x))) \to L(x)$$

$$r_2: H(y, L(1)) \to H(y, y)$$

The term arising from this superposition, F(C, H(0, L(1))), is now subject to two rewritings, as follows.





 $F(C, H(0, L(1))) \to_{r_1} L(1)$ $F(C, H(0, L(1))) \to_{r_2} F(C, H(0, 0))$

Now $\langle L(1), F(C, H(0, 0)) \rangle$ is the critical pair generated by this overlapping between r_1 and r_2 .









$$A(x,0) \rightarrow x$$

 $A(x,S(y)) \rightarrow S(A(x,y))$ $F(0) \rightarrow 0$
 $F(S(x)) \rightarrow A(F(x),S(x))$ \mathcal{R}_2 $M(x,0) \rightarrow 0$
 $M(x,S(y)) \rightarrow A(M(x,y),x)$

$$\mathcal{D} = \mathcal{R}_1$$

4. term rewriting: divide et impera; termination by stars



Some streets we want to walk


Grassmann 1861, Dedekind 1888

 $A(x, 0) \rightarrow x$ $A(x, S(y)) \rightarrow S(A(x, y))$ $M(x, 0) \rightarrow 0$ $M(x, S(y)) \rightarrow A(M(x, y), x)$



 $A(x, 0) \rightarrow x$ $A(x, S(y)) \rightarrow S(A(x, y))$ $M(x, 0) \rightarrow 0$ $M(x, S(y)) \rightarrow A(M(x, y), x)$

left linear non-overlapping rules



orthogonal TRSs: no overlaps



and no repeated variables



1924. "Über die Bausteine der mathematischen Logik"

Moses Schönfinkel



@ @ @ S @ @ y K X

@

orthogonal, hence confluent

Alonzo Church 1903-1995

At the time of his death, Church was widely regarded as the greatest living logician in the world



THE CALCULI OF LAMBDA-CONVERSION



Lambda Calculus

 $(\lambda x.Z(x))Y \longrightarrow Z(Y)$

Turing complete

STUDIES IN LOGIC

AND

THE FOUNDATIONS OF MATHEMATICS

VOLUME 103

J. BARWISE / D. KAPLAN / H.J. KEISLER / P. SUPPES / A.S. TROELSTRA EDITORS

The Lambda Calculus Its Syntax and Semantics

REVISED EDITION

H.P. BARENDREGT

ELSEVEER

AMSTERDAM + LONDON + NEW YORK + OXFORD + PARIS + SILANNON + TOKYO







ur-cycle

pure 3-cycle

Not in CL!

M.H. Sorensen:

λ -term has infinite reduction \Rightarrow $(\lambda x.xx)(\lambda x.xx)$ is a subword

$(\lambda xy.y(xxy))(\lambda xy.y(xxy))$

The TRS of S-terms, fragment of CL was another favourite passtime

- *is not SN:* SSS(SSS)(SSS) has infinite reduction (Barendregt earns 25 guilders)
- *has no cycles* (Bergstra)
- *is top terminating* (Waldmann)











$F(x) \rightarrow P(x, F(S(x)))$



 $F(x) \rightarrow P(x, F(S(x)))$



Cauchy converging reduction sequence: activity may occur everywhere



Strongly converging reduction sequence, with descendant relations



— convergence of depths towards ω^2



Ordinals ω^2 and ω^3 embedded in the reals, order-respecting.

Exercise: which ordinals can be embedded in the real segment [0,1]?

(i)
$$(\omega^{\omega} \cdot 2 + \omega^3 \cdot 4 + \omega^2) + (\omega^3 \cdot 3 + \omega^2 \cdot 2 + 1) = \omega^{\omega} \cdot 2 + \omega^3 \cdot 7 + \omega^2 \cdot 2 + 1$$

(ii) $(\omega^6 \cdot 3 + \omega^2 \cdot 4 + 2) + (\omega^4 \cdot 5 + \omega^2) = \omega^6 \cdot 3 + \omega^4 \cdot 5 + \omega^2$
(iii) $(\omega^{\omega+2} \cdot 3 + \omega^{\omega} + \omega + 7) \cdot (\omega^{\omega+1} \cdot 2 + \omega^{\omega} + 3) = \omega^{\omega\cdot2+1} \cdot 2 + \omega^{\omega\cdot2} + \omega^{\omega+2} \cdot 9 + \omega^{\omega} + \omega + 7$

But if you don't like ordinals, there is for orthogonal TRSs the Compression Lemma:

every reduction of length α can be compressed to ω or less.

use dove-tailing

Every countable ordinal can be the length of an infinite reduction. Consider the TRS

$${c \rightarrow f(a, c) and a \rightarrow b}$$



finitary rewriting	infinitary rewriting
finite reduction	strongly convergent reduction
infinite reduction	divergent reduction
normal form	(poss. infinite) normal form
CR: finite coinitial reductions can be joined	<i>CR∞: infinite coinitial</i> <i>reductions can be joined</i>
UN: coinitial reductions to nf end in same nf	<i>UN∞: coinitial reductions to nf</i> <i>end in same nf</i>
SN: there are no infinite reductions	<i>SN∞: there are no divergent reductions</i>
WN: there is a reduction to nf	WN∞: there is a reduction to nf

How to define SN^{∞} and WN^{∞} ?

 WN^{∞} is easy: There is a possibly infinite reduction to the possibly infinite normal form.

 SN^{∞} : all reductions will eventually terminate in the normal form. The only way such a reduction could fail to reach a normal form, is that it stagnates at some point in the tree which is developing, for infinitely many steps. Then no limit can be taken.

Good and bad reductions. In ordinary rewriting the finite reductions are good, they have an end point, and the infinite ones are bad, they have no end point.

Same in infinitary rewriting. The good reductions are the ones that are strongly convergent, they have an end point. E.g.

 $a \rightarrow b(a)$ reaches after ω steps the end point b^{ω} .

The bad reductions (divergent, stagnating) are the ones without an end point. Their reductions may be long, a limit ordinal long, but there they fail.

SN^{∞} states that there are no bad reductions.

In other words: say we select at random in each step a redex and perform this step. We can go on until we reach a limit ordinal. At that point we look back, and if the reduction was strongly convergent we take the limit and go on. If not, we stop there and we had a bad reduction.

CLAIM: we can then identify a stagnating term, a term where infinitely often a root step was performed.





infinitary parallel moves lemma

PML[∞] For first order infinitary term rewriting we have the infinitary Parallel Moves Lemma PML[∞]










for OTRSs: UN^{∞} .

Corollary: Dershowitz et al: for OTRSs $SN^{\infty} \Rightarrow CR^{\infty}$.

Proof: as for finite case SN & UN => CR



Confluence in infinitary rewriting

	PML	CR	UN	PML∞	CR∞	UN∞
OTRS	yes	yes	yes	yes	no	yes
w.o. TRS	yes	yes	yes	?	no	?
λβ	yes	yes	yes	no	no	yes
OCRS	yes	yes	yes	no	no	Ŷ

by CR^{∞} for a quotient of $\lambda\beta^{\infty}$, e.g. mute terms, or hypercollapsing terms, and applying an abstract lemma of de Vrijer.

Let (A, \rightarrow_1) and (B, \rightarrow_2) be two ARSs with A included in B, reduction \rightarrow_1 included in \rightarrow_2 , normal forms nf(A) included in nf(B). Then CR for B implies UN for A.



 λ^{∞} :not PML^{\infty}

$$\omega_{\rm I} \equiv (\lambda x. I(xx))$$
$$\omega \equiv \lambda x. xx$$

 $YI \rightarrow \omega_I \omega_I$



For infinitary lambda calculus Parallel Moves Lemma PML[∞] fails, hence also CR[∞]

Y₀: $\lambda f. (x.f(xx)(\lambda x.f(xx)))$

Y₁: (λ ab. b(aab)) (λ ab. b(aab))

$Y_0(SI)$ Y_1

Exercise. Prove that $Y_0 \neq_{\beta} Y_1$

infinitary lambda calculus subsumes scott's induction rule

$$Yx \rightarrow x(Yx) \rightarrow x^2(Yx) \rightarrow x^0 = x(x(x(x...$$



A simple proof



55





- 0. A few words on history
- 1. rewriting dictionary
- 2. two theorems in abstract rewriting
- 3. word rewriting: monoids and braids

tea, coffee

- 4. term rewriting: divide et impera; termination by stars
- 5. Lambda calculus and combinatory logic
- 6. Infinitary rewriting

tea, coffee

7. infinitary lambda calculus and the threefold path

8.clocked semantics of lambda calculus

9.streams running forever













<u>></u>___















